Key Investor Information

This document provides you with key investor information about this Sub-Fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this Sub-Fund. You are advised to read it so you can make an informed decision about whether to invest.

Sakigake High Alpha – Japan Thematic Growth – Class A USD H

A unit class of Sakigake High Alpha – Japan Thematic Growth

A Sub-Fund of the SuMi TRUST INVESTMENT FUNDS (LUXEMBOURG), the "UCITS"

ISIN code: (A) LU1850120699

This UCITS has appointed FundRock Management Company S.A. as its Management Company

Objectives and investment policy

Objectives:
The Sub-fund aims to generate excess return against the designated benchmark TOPIX Total Return Index on a consistent basis, measured in JPY.

Investment Policy:
The investment objective is pursued through investing at least two thirds of the assets in a high conviction concentrated portfolio of equities or equity related securities which are listed or traded on recognised exchanges in Japan, with a high return potential.The Investment Manager aims to achieve the investment objective by identifying long-term investment themes and Sakigake stocks which falls into each theme through fundamental research.
The Investment Manager may use one or more derivatives, including swaps, futures, forwards, options, and other contingent liability investments whether executed on a recognised exchange or market or traded over-the-counter ("OTC"). The forward instruments will be used for hedging purposes only. The assets of the Sub-Fund may include adequate cash and cash equivalents, which under normal circumstances can be increased up to 10% of the assets of the Sub-Fund. The Investment Manager shall seek to hedge all or part of the currency risk against the currency of the Hedged Class.

The sub-fund is actively managed. The sub-fund is managed in reference to the to the TOPIX Total Return Index (the "Benchmark) for the purposes of performance measurement, in particular for outperformance and marketing purposes. The sub-fund's portfolio may replicate fully or partially from time to time the components of Benchmark. The Investment Manager will use its discretion to invest in securities of issuers which are not included in the Benchmark in order to take advantage of specific investment opportunities. There are no restrictions on the extent to which the sub-fund's holdings may deviate from the Benchmark.

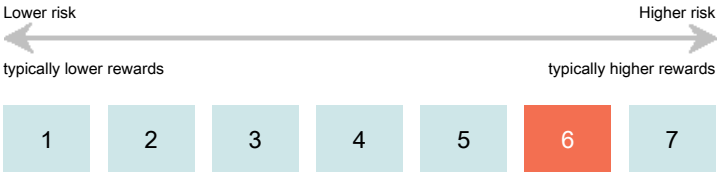
Your units will be non-distributing. Income from investments in the Sub-Fund will be re-invested and therefore rolled up into the value of your units.

The Sub-Fund currency is JPY. This Unit Class is in USD.

You can buy and sell your units daily. The minimum initial investment for this Unit Class is 10,000 USD.

Recommendation: This Sub-Fund may not be appropriate for investors who plan to withdraw their money within the short-term.

Risk and reward profile



This indicator is based on historical data and may not be a reliable indication of the future risk profile of the Sub-Fund.

The risk and reward category shown is not guaranteed and may change over time.

The lowest category does not mean a risk free investment.

The Sub-Fund is rated 6 due to the nature of its investments which include the risks listed below. These factors may impact the value of the Sub-Fund's investments or expose the Sub-Fund to losses.

Particular risks not adequately captured by the risk indicator include:

- **Investment risk is concentrated in specific sectors**, countries, currencies or companies. This means the Sub-Fund is more sensitive to any localised economic, market, political or regulatory events.
- **Currency Risk:** The Sub-Fund invests in other currencies. Changes in exchange rates will therefore affect the value of the investment.
- **The value of equities and equity-related securities** can be affected by daily stock market movements. Other influential factors include political, economic news, company earnings and significant corporate events.
- **Counterparty Risk:** The insolvency of any institutions providing services such as safekeeping of assets or acting as counterparty to derivatives or other instruments, may expose the Sub-Fund to financial loss.
- **Liquidity Risk:** In difficult market conditions, the Sub-Fund may not be able to sell a security for full value or at all. This could affect performance and could cause the Sub-Fund to defer or suspend redemptions of its units.
- **Derivatives Instruments:** Derivatives and other financial techniques used substantially to obtain, increase or reduce exposure to assets may be difficult to value, may generate leverage, and may not yield the anticipated results. All of this could be detrimental to the performance of the Sub-Fund.
- **Concentration Risk:** While the assets of the Sub-Fund will always be invested in compliance with the diversification requirements provided by applicable law, the Sub-Fund will be invested in a concentrated portfolio of companies which could increase the risk of losses in adverse market conditions.
- **Hedging risk:** The currency hedging used to minimise the effect of currency fluctuations may not always be successful. Investors may have exposure to currencies other than the currency of their units.

Charges

One-off charges taken before or after you invest	
Entry charge	Up to 3%
Exit charge	None
Charges taken from the UCITS over a year	
Ongoing charges	1.27%
Charges taken from the UCITS under certain specific conditions	
Performance fee	None

The charges borne by the investor are used for the operation of the Sub-Fund, including the costs of marketing and distributing it. These charges reduce the potential growth of your investment.

The entry and exit charges shown are maximum percentage. In some cases you might pay less. Please refer to your financial advisor or the distributor for the actual entry and exit charges.

The ongoing charges figure is based on an estimate calculated during the launch phase. This figure may vary from year to year. It excludes portfolio trade-related costs, except costs paid to the depositary and any entry/exit charge paid to an underlying collective investment scheme (if any).

For more information about charges, please see section charges and expenses of the prospectus of the UCITS, which is available at: http://www.smtil.com/products/.

Past performance

The Sub-Fund does not yet have performance data for one complete calendar year to display a graph of past performance.

The Sub-Fund was launched on May the 31st, 2018.

The Unit Class was launched on July the 27th, 2021.

There is insufficient performance data available to provide a chart of annual past performance.

Benchmark: TOPIX Total Return Index

Base Currency: USD

Practical information

Depositary Bank: The depositary of the UCITS is Brown Brothers Harriman (Luxembourg) S.C.A

Further information about the Sub-Fund can be obtained from the prospectus and the latest annual and semi-annual reports of the UCITS. The latest price of the shares is available online at http://www.smtil.com/products/.

These documents can be obtained free of charge in English from the Management Company, FundRock Management Company S.A. in its offices at H2O building, 33 rue de Gasperich, L-5826 Hesperange, Luxembourg and also at http://www.smtil.com/products/

Investors should note that the tax legislation that applies to the Sub-Fund may have an impact on their personal tax position.

The Sub-Fund is a sub-fund of the UCITS, an umbrella structure comprising different sub-funds. This document is specific to the Sub-Fund and unit class stated at the beginning of this document. However, the prospectus, annual and semi-annual reports are prepared for the UCITS.

The UCITS may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the UCITS prospectus.

Further information about other units classes can be found in the prospectus.

Under Luxembourg law, the UCITS has segregated liability between its sub-funds (i.e. the UCITS assets will not be used to discharge the liabilities of other Sub-Funds within the umbrella). In addition, the Sub-Fund's assets are held separately from the assets of other sub-funds.

Investors may switch their units in the Sub-Fund for units in another unit class of another sub-fund within the UCITS, subject to meeting any relevant eligibility requirements and minimum holding amounts and any other conditions as set out in section "Conversion of Units" of the prospectus.

The Management Company has established and applies a remuneration policy in accordance with principles laid out under UCITS V directive and any related legal and regulatory provisions applicable in Luxembourg. The up-to-date remuneration policy of the Management Company, including, but not limited to, a description of how remuneration and benefits are calculated, the identity of persons responsible for awarding the remuneration and benefits, including the composition of the remuneration committee, are available at https://www.fundrock.com/pdf/Fundrock_Remuneration_policy.pdf and a paper copy will be made available free of charge upon request at the Management Company's registered office.

The Unit Class Sakigake High Alpha – Japan Thematic Growth – Class A USD H presented in this Key Investor Information Document is representative of certain other units assimilated to the same class but denominated in different currencies in the Fund.

This Key Investor Information is accurate as at July the 19th, 2021.

This Fund and its Management Company are authorised in Luxembourg and supervised by the Commission de Surveillance du Secteur Financier (CSSF).